



NC Commissioner of Banks

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BANK HOLDING COMPANY ORGANIZATIONAL INFORMATION

Please supply the following information as of **December 31** of the year just ended. More current data may be used, in which case the date of such information should be clearly indicated in your response.

For your bank holding company (“BHC”), list:

1. All bank and nonbank subsidiaries, whether directly or indirectly owned or controlled. Indicate whether ownership is direct or indirect. (For purposes of this form, a subsidiary is any company in which the BHC owns, controls or holds with power to vote, 25% or more of the shares of any class of voting securities, or otherwise controls the company. Indirect ownership denotes ownership by or through a subsidiary.
2. All BHC direct holdings of companies by virtue of which it controls between 5% and 25% of the shares of any class of each of such companies’ voting securities.
3. All BHC indirect holdings of companies by virtue of which it controls between 5% and 25% of the shares of any class of each of such companies’ voting securities.
4. If the BHC has any nonbank subsidiary, or subsidiary of a nonbank subsidiary, operating offices in the State of North Carolina: (a) list the name of the company operating in North Carolina; (b) its registered agent in North Carolina; (c) all office locations within the State by street address; and (d) its parent company.
5. If a bank subsidiary has a subsidiary with offices operating in the State of North Carolina: (a) list the name of the company operating in the State of North Carolina; (b) its registered transfer agent in this State; (c) all office locations in the State by street address; and (d) its parent company.
6. **For out-of-state bank holding companies only:** Give the date the nonbank subsidiary began doing business in the State of North Carolina. If more than one subsidiary has offices operating in this State, give the first date of entrance into the State.

References: N.C.G.S. § 53-225, *et seq.*