Servicers Reporting Requirements and Due Dates-

1. *North Carolina Annual Report Questionnaire-*

NC SAFE Act Annual Report Questionnaire ("ARQ") should be submitted electronically via the OCOB website and is due annually by March 31st. The ARQ collects only North Carolina loan data from January 1 through December 31. Reference 04 NCAC 03M .0401(a).

2. *Financial Statement-*

Servicers are required to upload in the NMLS an audited statement of financial condition that demonstrates a net worth of at least one hundred thousand dollars ($100,000). Reference NCGS § 53-244.104(a)(2); 04 NCAC 03M .0401(b).

3. *Mortgage Call Report-*

All licensees must submit the NMLS Mortgage Call Reports on a quarterly basis (based upon a calendar year). The information is due within 45 days of the end of each quarter. The Call Report is designed to collect mortgage servicing and financial information from licensees. Reference NCGS § 53-244.115(d); 04 NCAC 03M .0401(d).

1st Qtr end	March 31st	REPORT DUE	By May 15th
2nd Qtr end	June 30th	REPORT DUE	By Aug 14th
3rd Qtr end	Sept 30th	REPORT DUE	By Nov 14h
4th Qtr end	Dec 31st	REPORT DUE	By Feb 14th

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