

NC Office of the Commissioner of Banks		
Non-Depository Entities Reporting Deadlines		
I. MORTGAGE LENDERS, BROKERS, AND SERVICERS		
Financial Statements (04 NCAC 03M .0401(b))	Due within 90 days after company fiscal year end.	
Verification of Deposit (Brokers Only) (04 NCAC 03M .0401(a))	Due on or before 31-Mar	
Assessments (N.C. Gen. Stat. § 53-244.100A)		
Billed	1-Aug	
Due	On or before 31-Oct	
Past due; company will not be allowed to renew	After 31-Oct	
NCCOB may pursue bond claim or disciplinary action	1-Dec	
MARS Loan Level Data Upload (Brokers, Lenders) (04 NCAC 03M .0401(c))		
Quarter	Quarter End Date	Report Due
1st Quarter	31-Mar	15-May
2nd Quarter	30-Jun	14-Aug
3rd Quarter	30-Sep	14-Nov
4th Quarter	31-Dec	14-Feb
Mortgage Call Reports (04 NCAC 03M .0401(d))		
Quarter	Quarter End Date	Report Due
1st Quarter	31-Mar	15-May
2nd Quarter	30-Jun	14-Aug
3rd Quarter	30-Sep	14-Nov
4th Quarter	31-Dec	14-Feb
II. MORTGAGE LOAN ORIGINATORS (MLO) RENEWAL DEADLINES FOR CONTINUING EDUCATION (CE) (N.C. GEN. STAT. § 53-244.102)		
CE Courses reported to NMLS by:		
Smart Deadline for Renewal Request	18-Dec	
At Risk to Miss Renewal	24-Dec	
Will Miss Renewal	31-Dec	
III. CHECK CASHERS		
Annual Report (04 NCAC 03L .0502(b))	1-Jul to 1-Sep, to coincide with renewal period	
Renewal Period (04 NCAC 03L .0303)	1-Jul to 1-Sep	
IV. CONSUMER FINANCE		
Annual Report (N.C. Gen. Stat. § 53-184(b); 04 NCAC 03E .0302)	Due on or before 31-Mar	
CARS Loan Level Data Upload (N.C. Gen. Stat. § 53-184(a); 04 NCAC 03E .0401)		
Quarter	Quarter End Date	Report Due
1st Quarter	31-Mar	15-May
2nd Quarter	30-Jun	14-Aug
3rd Quarter	30-Sep	14-Nov
4th Quarter	31-Dec	14-Feb
Assessments (N.C. Gen. Stat. § 53-167)		

Billed	1-Jul	
Due	Upon receipt	
Past due	After 1-Aug	
NCCOB may pursue disciplinary action	1-Sep	
V. REFUND ANTICIPATION LOAN FACILITATORS (RALS)		
Renewal Period (N.C. Gen. Stat. § 53-248(b); 04 NCAC 03J .0203(b))	15-Oct to 31-Dec	