



# State of North Carolina

OFFICE OF THE COMMISSIONER OF BANKS

The Secure and Fair Enforcement Mortgage Licensing Act (the Act), Article 19B of Chapter 53 of the North Carolina General Statutes, defines a “mortgage origination support registrant” (MOSR) as a “person engaged exclusively in the processing or underwriting of residential mortgage loans and not engaged in the mortgage business.”

A MOSR is required to employ and sponsor at least one licensed mortgage loan originator or transitional mortgage loan originator who will control and supervise its loan processors and underwriters. Mortgage loan originators who are employed by a MOSR may also work from a home or residence, but cannot originate residential mortgage loans.

MOSRs are required to comply with all state and federal laws and rules affecting the mortgage business.

For each application submitted to the Office of the Commissioner of Banks (“OCOB”), applicants are required to provide a copy of the signed Form MLA 21 (attached).

\_\_\_\_\_ STATE Company Name: \_\_\_\_\_

\_\_\_\_\_ COUNTY NMLS ID No.: \_\_\_\_\_

**ATTESTATION**

The undersigned, being first duly sworn, deposes and says that:

1. I am the qualifying individual for the above referenced company applying for registration under N.C.G.S. § 53-244.010 *et seq.* (The Secure and Fair Enforcement Mortgage Licensing Act (“NC SAFE Act”)).
2. I understand that once registered, the company is expected and required to comply with the Gramm-Leach-Bliley Act (15 U.S.C. § 6801) related to the privacy, protection, security, and confidentiality of consumer information.
3. I understand that once registered, the company is expected and required to comply with state and federal laws governing the mortgage lending business and any rulings issued by the Commissioner which affect licensees under the NC SAFE Act.
4. I further understand that as the responsible person supervising the operations of this company within the state of North Carolina, I will be personally responsible for ensuring that the company complies with the applicable laws and rules affecting the mortgage business.
5. I further understand that in the event that the company fails to comply with those statutes and rules, the company and I, as well as any partners, owners, officers, directors, and other persons occupying a similar status, may be subject to disciplinary action, including the assessment of civil penalties, pursuant to N.C.G.S. § 53-244.116.

FURTHER, AFFIANT SAYETH NAUGHT:

This \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_. \_\_\_\_\_ (SEAL)  
AFFIANT

\_\_\_\_\_ STATE, \_\_\_\_\_ COUNTY

I certify that: \_\_\_\_\_, personally appeared before me this day, and, after being duly sworn, acknowledged to me that he/she voluntarily signed the foregoing document for the purpose stated therein and in the capacity indicated above.

I have personal knowledge of the identity of the individual and the office he/she holds with the above named company.

Date: \_\_\_\_\_  
Notary Public signature

My commission expires: \_\_\_\_\_